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for

CHIEF FINANCIAL OFFICER
RM MARUTHA
FOR
ANNUAL PERFORMANCE AGREEMENT
2023/2024



BELA - BELA LOCAL MUNICIPALITY

01 JULY 2023 TO 30 JUNE 2024 FINANCIAL YEAR

FOR THE PERIOD

(Herein and after referred to as the Employee)

RM MARTHA

AND

(Herein and after referred to as the Employer)

TG RAMAGAGA

AS REPRESENTED BY THE MUNICIPAL MANAGER

THE BELA-BELA LOCAL MUNICIPALITY

MADE AND ENTERED INTO BY AND BETWEEN:

PERFORMANCE AGREEMENT

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1. INTRODUCTION

- 1.1 The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act No. 32 of 2000 ("the Systems Act"). The **Employer** and the **Employee** are hereinafter referred to as "**the Parties**";
- 1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the parties to conclude an annual performance agreement;
- 1.3 The parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the **Employee** to a set of outcomes that will secure local government policy goals;
- 1.4 The Parties wish to ensure that there is compliance with Sections 57 (4A), 57 (4B) and 57 (5) of the Systems Act;
- 1.5 In this Agreement, the following terms will have the meaning ascribed thereto:
- 1.5.1 "**Core competencies**" - means competencies that cut across all levels of work in a municipality and enhance contextualized leadership that guarantees service delivery impact;
- 1.5.2 "**Leading competencies**" - means competencies that are required to develop clear institutional strategy, initiate, drive and implement programs to achieve long-term sustainable and measurable service delivery performance results;
- 1.5.3 "**this Agreement**" - means the performance Agreement between the Employer and the Employee and the Annexures thereto;
- 1.5.4 "**the Mayor**" - means the Mayor of council appointed in terms of the Local Government: Municipal Structures Act No. 117 of 1998;
- 1.5.5 "**the Employee**" - means the **Chief Financial Officer** appointed in terms of Section 56 (1) (a) (i) of the Local Government Municipal Systems Act No 32 of 2000
- 1.5.6 "**the Employer**" - means Bela-Bela Municipal Council; and
- 1.5.7 "**the Parties**" means the Employer and the Employee.

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- 2.1 The purpose of this Agreement is to:
 - 2.1.1 Comply with the provisions of Section 57(1) (b), (4A), (4B) and (5) of the Act as well as the employment contract entered into between the parties;
 - 2.1.2 Specify objectives in terms of the key performance indicators and targets defined and agreed with the employee and to communicate to the employee the employer's expectations of the employee's performance and accountabilities in alignment with the Integrated Development Plan (IDP), Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the municipality;
 - 2.1.3 Give effect to the employer's commitment to a performance-orientated relationship with its employee in attaining equitable and improved service delivery.
 - 2.1.4 Specify accountabilities as set out in a Performance Plan, which forms an Annexure to the Performance Agreement;
 - 2.1.5 Monitor and measure performance against set targeted outputs;

2. PURPOSE OF THE PERFORMANCE AGREEMENT

- 1.5.8 Regulations
 - 1.5.8.1 Local Government: Municipal Planning and Performance Management regulations, 2001
 - 1.5.8.2 Local Government: Municipal Performance Regulations for Municipal Managers and Managers directly Accountable to Municipal Managers, 2006
 - 1.5.8.3 Local Government: Regulations on appointment and conditions of employment of senior managers. (17 January 2014)
 - 1.5.8.4 Local Government: Municipal Regulations on minimum Competency Levels, 2007, issued in terms of the Municipal Finance Management Act, No. 56 of 2003, as published under Government Notice No. 493 in Government Gazette No. 29967 of 15 June 2007
 - 1.5.9 "Municipal Manager" – means a Municipal Manager or Acting Municipal Manager, appointed in terms of section 54A of the Local Government: Municipal Systems Act No. 32 of 2003.
 - 1.5.10 "Senior Manager" – means a Manager directly accountable to Municipal Manager, appointed in terms of section 56 of the Local Government: Municipal Systems Act No. 32 of 2003.
 - 1.5.11 "Evaluation Panel" - means the committee constituted for the purpose of evaluating performance of the Municipal Manager and Managers directly accountable to the Municipal Manager.

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- 4.2.1 Key objectives: that describes the main tasks that need to be done; shall include: Implementation Plan (SDBIP) and the Approved 2023/2024 Budget of the Employer, and Integrated Development Plan, Approved 2023/2024 Service Delivery and Budget Employer in consultation with the Employer and based on the Approved 2023/2024
- 4.2 The performance objectives, and targets reflected in Performance Plan are set by the Competencies
- 4.1.3 The Competencies comprising of the Leading Competencies and the Core and
- 4.1.2 The time frames within which those performance objectives and targets must be met;
- 4.1.1 The performance objectives, and targets that must be met by the employee
- 4.1 The Performance Plan (Annexure A) sets out-

4 PERFORMANCE OBJECTIVES

- 3.5 If at any time during the validity of this Agreement the work environment alters to the extent that the contents of this Agreement are no longer appropriate, the contents must, by mutual agreement between the Parties, immediately be revised.
- 3.4 The Parties agree to review the provisions of this agreement during June each year
- 3.3 This Agreement will terminate on the termination of the Employer's contract of employment for any reason; and
- 3.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31st of July of the succeeding financial year;

3 COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 01 July 2023, irrespective of the date on which it was signed by both Parties, and will remain in force until 30 June 2024 thereafter, a new Performance Agreement, Performance Plan and Personal Development Plan shall be concluded between the Parties for the next financial year or any portion thereof;
- 2.1.8 Give effect to the employer's commitment to a performance-orientated relationship with its employee in attaining equitable and improved service delivery.
- 2.1.7 Reward the Employer appropriately, in the event of outstanding performance; met the performance expectations applicable to his or her job;
- 2.1.6 Use the Performance Agreement as the basis for assessing whether the employee has

4.2.2 Key performance indicators: that provides the details of the evidence that must be provided to show that a key objective has been achieved;

4.2.3 Target dates: that describes the time frame in which the targets must be achieved; and

4.2.4 Weightings: showing the relative importance of the key objectives to each other;

4.3 The Personal Development Plan (Annexure B) sets out the **Employee's** personal development requirements in line with the objectives and targets of the **Employer**; and

4.4 The **Employee's** performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the **Employer's** Integrated Development Plan.

4.5 Disclosure of Financial Interests (Annexure C) set out the financial interests of the employee

5 PERFORMANCE MANAGEMENT SYSTEM

5.1 The **Employee** agrees to participate in the performance management system that the **Employer** adopts or introduces for the municipality;

5.2 The **Employee** accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the **Employer**, management and municipal staff to perform to the standards required;

5.3 The **Employer** will consult the **Employee** about the specific performance standards that will be included in the performance management system as applicable to the **Employee**;

5.4 The **Employee** undertakes to actively focus towards the promotion and implementation of the (KPIs), including special projects relevant to the employee's responsibilities, within the local government framework;

5.5 The criteria upon which the performance of the **Employee** shall be assessed shall consist of two components, Operational Performance in the form of key performance indicators (KPIs) under specific Key Performance Areas (KPIAs) and Competencies: Leading- and Core Competencies, both of which shall be contained in the Performance Agreement.

5.5.1 The **Employee** must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPIAs) and the Competencies: Leading- and Core Competencies respectively.

5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

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5.7 In the case of managers directly accountable to the municipal manager, KPAs related to the functional area of the relevant manager, must be subject to negotiation between the municipal manager and the relevant manager.

Key Performance Areas		Weighting
1	Basic Service and Infrastructure Development	0%
2	Municipal Institutional Development and Transformation	20%
3	Local Economic Development (LED)	0%
4	Municipal Financial Viability and Management	60%
5	Good Governance and Public Participation	20%
6	Spatial Rationale	0%
Total		100%

The KPA must constitute 100% and be converted to 80%

5.6 The **Employee's** assessment will be based on his / her performance in terms of the key performance indicator outputs / outcomes identified as per attached Performance Plan (Annexure A), which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee

5.5.3 KPAs covering the main areas of work will account for 80% and Competencies: Leading -- and Core Competencies will account for 20% of the final assessment.

6. COMPETENCY FRAMEWORK!

6.1 A person appointed as a senior manager must have the competencies as set out in this framework. Focus must also be placed on the following key factors:

(a) Critical leading competencies that drive the strategic intent and direction of local government;

(b) Core competencies which senior managers are expected to possess, and which drive the execution of the leading competencies; and

(c) The eight Batho Pele principles.

6.2 The competency framework consists of **six leading competencies** which comprise of twenty (20) driving competencies that communicate what is expected for effective performance in local government.

6.3 The competency framework further involves **six core competencies** that act as drivers to ensure that the leading competencies are executed at an optimal level.

6.4 Competency Framework Structure

6.4.1 The competencies that appear in the competency framework are detailed below:

CRITICAL LEADING COMPETENCIES	
Six (6) Leading Competencies	Twenty (20) driving competencies
Strategic Direction and Leadership	<ul style="list-style-type: none"> • Impact and Influence • Institutional Performance Management • Strategic Planning and Management • Organisational Awareness
People Management	<ul style="list-style-type: none"> • Human Capital Planning and Development • Diversity Management • Employee Relations Management • Negotiation and Dispute Management
Program and Project Management	<ul style="list-style-type: none"> • Program and Project Planning and Implementation • Service Delivery Management • Program and Project Monitoring and Evaluation
Financial Management	<ul style="list-style-type: none"> • Budget Planning and Execution • Financial Strategy and Delivery • Financial Reporting and Monitoring
Change Management	<ul style="list-style-type: none"> • Change Vision and Strategy • Process Design and Improvement • Change Impact Monitoring and Evaluation
Governance Leadership	<ul style="list-style-type: none"> • Policy Formulation

¹ This competency Framework replaces regulation 26 (8) of the Local Government: Municipal Performance Regulations for Municipal Managers and Managers directly accountable to Municipal Managers, (Government Notice No. 805) as published in Government Gazette No. 29089 of 1 August 2006.

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Assessment. Based on the Target for an activity or KPI, over or under performance are calculated and converted to the 1-5 point scale automatically. These scores are carried over to the applicable

(b) Values are supplied for KPI's and Activities under each KPA as part of the Institutional under the KPA

(a) Each KPA should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to *ad-hoc* tasks that had to be performed

7.5.1 Assessment of the achievement of results as outlined in the Performance Plan

7.5 The Annual performance appraisal will involve:

7.4 The **Employee's** performance will be measured in terms of contributions to the strategic objectives and strategies set out in the **Employer's** IDP.

7.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;

7.2 Despite the establishment of agreed intervals for evaluation, the **Employer** may in addition review the **Employee's** performance at any stage while the contract of employment remains in force;

7.1.2 The intervals for the evaluation of the **Employee's** performance;

7.1.1 The standards and procedures for evaluating the **Employee's** performance; and

7.1 The Performance Plan (Annexure A) to this Agreement sets out:

7. PERFORMANCE ASSESSMENT

CRITICAL LEADING COMPETENCIES	
Six (6) Leading Competencies	Twenty (20) driving competencies
	<ul style="list-style-type: none"> • Risk and Compliance Management • Cooperative Governance
SIX (6) CORE COMPETENCIES	
Moral Competence	
Planning and Organising	
Analysis and Innovation	
Knowledge and Information Management	
Communication	
Results and Quality Focus	

employee's performance plan. During assessment, the employee has a chance to submit evidence of performance where a disagreement

(c) The Employee will submit his self-evaluation to the Employer prior to the formal assessment; and

(d) An overall score will be calculated based on the total of the individual scores calculated above.

7.5.2 Assessment of the Leading Competencies and Core Competencies:

(a) There is no hierarchical connotation to the structure and all competencies are essential to the role of a senior manager to influence high performance.

(b) All competencies must therefore be considered as measurable and critical in assessing the level of a senior manager's performance.

(c) The competency framework is underscored by four (4) achievement levels that act as benchmark and minimum requirements for other human capital interventions, which are, recruitment and selection, learning and development, succession and planning, and promotion.

7.5.3 Achievement Levels

7.5.3.1 The achievement levels indicated in the table below serves as a benchmark for the appointments, succession planning and development interventions.

7.5.3.2 Individuals falling within the Basic range are deemed unsuitable for the role of senior manager, and caution should be applied in promoting and appointing such persons.

7.5.3.3 Individuals that operate in the Superior range are deemed highly competent and demonstrate an exceptional level of practical knowledge, attitude and quality. These individuals should be considered for higher positions, and should be earmarked for leadership programs and succession planning.

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Achievement Levels	Description
Basic 1	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention
Competent 2	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analyses
Advanced 3	Develops and applies complex concepts, methods and understanding. Effectively directs and leads group and executes in-depth analyses
Superior 4	Has a comprehensive understanding of local government operations, critical in shaping strategic direction and change, develops and applies comprehensive concepts and methods.

7.5.4 COMPETENCY DESCRIPTION: LEADING COMPETENCIES

Cluster	Leading Competencies	Competency Name	Competency Description	Definition	ACHIEVEMENT LEVELS							
Weight	Strategic Direction and Leadership	10		Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate.	BASIC	<ul style="list-style-type: none"> Understand the institutional and departmental objectives, but lacks ability to inspire others to achieve set mandate Describe how specific tasks link to the institutional strategies but has limited influence in directing strategy Has a basic understanding of institutional performance, but lacks the ability to integrate systems into a collective whole Demonstrate a basic understanding of decision makers 	COMPETENT	<ul style="list-style-type: none"> Give direction to a team in realising the institution's strategic mandate and set objectives Has a positive impact and influence on the morale, engagement and participation of team members Develop actions plans to execute and guide strategy implementation Assist in defining performance measures to monitor the progress and effectiveness of the institution Displays awareness of institutional structures and political factors and effectively communicate barriers to execution to relevant parties Provide guidance to all stakeholders in the strategic mandate and objectives of the institution and relate it to own work 	ADVANCED	<ul style="list-style-type: none"> Evaluate all activities to determine value and alignment to strategic intent Display in-depth knowledge and understanding of strategic planning across all functional areas Actively define performance measures to monitor the progress and effectiveness of the institution Consistently challenge strategic plans to ensure relevance Understand institutional structures and political factors, and the consequences of actions to empower others to follow strategic direction and deal with complex situations Guide the institution through complex and ambiguous concern Use understanding of power relationships and dynamic tensions among key players to frame communications and develop strategies, positions and alliances 	SUPERIOR	<ul style="list-style-type: none"> Structure and position the institution to local government priorities Actively use in-depth knowledge and understanding to develop and implement a comprehensive institutional framework Hold self-accountable for strategy execution and results Provide impact and influence through building and maintaining strategic relationships Create an environment that facilitates loyalty an innovation display a superior level of self-discipline and integrity in actions Integrate various systems into a collective whole to optimise institutional performance Uses understanding of competing interests to manoeuvre successfully to a win/win outcome

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Cluster	Leading Competencies	Competency Name	Competency Definition	Weight
		People Management?	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build nature relationships in order to achieve institutional objectives	10
ACHIEVEMENT LEVELS				
BASIC	COMPETENT	<ul style="list-style-type: none"> Identify ineffective team and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives 	<ul style="list-style-type: none"> Participate in team goal setting and problem solving Interact and collaborate with people of diverse backgrounds Aware of guidelines for employee development, but requires support in implementing development initiatives 	
ADVANCED	ADVANCED	<ul style="list-style-type: none"> Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management 	<ul style="list-style-type: none"> Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management 	
SUPERIOR	SUPERIOR			

Cluster	Leading Competencies	Competency Name	Weight	Competency Definition
		Program and Project Management	10	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives
ACHIEVEMENT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR	
<ul style="list-style-type: none"> Initiate projects after approval from higher authorities Understand procedures of program and project management methodology, and implications and stakeholder involvement the project status and key milestones Define the roles and responsibilities of the project team and create clarity around expectations Find a balance between project deadline and the quality of deliverables Communicate factors and risk associated with own work Use results and approaches of successful project implementation as guide 	<ul style="list-style-type: none"> Establish broad stakeholder involvement and communicate the project status and key milestones Define the roles and responsibilities of the project team and create clarity around expectations Find a balance between project deadline and the quality of deliverables Identify appropriate project resources to facilitate the effective completion of the deliverables Comply with statutory requirements and apply policies in a consistent manner Monitor progress and use of resources and make needed adjustments to timelines, steps, and resource allocation 	<ul style="list-style-type: none"> Manage multiple programs and balance priorities and conflicts according to institutional goals Apply effective risk management strategies through impact assessment and resource requirements Modify project scope and budget when required without compromising the quality and objectives of the project Involve top-level authorities and relevant stakeholders in seeking project buy-in Identify and apply contemporary project management methodology and influence project team to deliver exceptional results Monitor policy implementation and apply procedures to manage risks 	<ul style="list-style-type: none"> Understand and conceptualise the long-term implications of desired project outcomes Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives Consider and initiate projects that focus on achievement of the long-term objectives Influence people in positions of authority to implement outcomes of projects Lead and direct translation of policy into workable action plans Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as needed 	

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Cluster	Leading Competencies	Weight	Competency Name	Competency Definition	ACHIEVEMENT LEVELS		
		10	Change Leadership ⁵	Able to direct and initiate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community			
					BASIC	COMPETENT	ADVANCED
<ul style="list-style-type: none"> • Display an awareness of change interventions, and the benefits of transformation initiatives • Able to identify basic needs for change • Identify gaps between the current and desired state • Identify potential risk and challenges to transformation, including resistance to change factors in programs and change pilots • Understand the impact of change interventions within the institution's broader scope of Local Government 	<ul style="list-style-type: none"> • Perform an analysis of the change, impact on the social, political and economic environment • Maintain calm and focus during change • Able to assist team members during change and keep them focused on the deliverables • Volunteer to lead change efforts outside of own work team • Able to gain buy-in and approval for change from relevant stakeholders • Identify change resistance to resolving impact of change interventions and assist in remedial interventions in place to facilitate effective transformation • Design change interventions that are aligned with the institution's strategic objectives and goals 	<ul style="list-style-type: none"> • Actively monitor change impact and results and convey progress to relevant stakeholders • Secure buy-in and sponsorship for change initiatives • Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness • Build and nurture relationships with various stakeholders to establish strategic alliance in facilitating change • Take the lead in impactful change programs • Benchmark change interventions against best change practices • Understand the impact and psychology of change, and put remedial interventions in place to facilitate effective transformation • Take calculated risk and seek new ideas from best practice scenarios, and identify the potential for implementation 	<ul style="list-style-type: none"> • Sponsor change agents and create a network of change leaders who support the interventions • Actively adapt current structures and processes to incorporate the change interventions • Mentor and guide team members on the effect of change, resistance factors and how to integrate change. • Motivate and inspire others around change initiatives 				
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Cluster	Leading Competencies	Competency Name	Weight
		Governance Leadership ⁶	10
Competency	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships		
ACHIEVEMENT LEVELS			
BASIC	<ul style="list-style-type: none"> • Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements to address these • Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders • Provide input into policy formulation 	COMPETENT	
ADVANCED	<ul style="list-style-type: none"> • Display a thorough understanding of governance and risk and compliance and factors and implement plans to address these • Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution • Actively drive policy formulation within the institution to ensure the achievement of objectives 	SUPERIOR	
	<ul style="list-style-type: none"> • Able to link risk initiatives into key institutional objectives and drivers • Identify, analyse and measure risk, create valid risk, create valid risk forecast, and map risk profiles • Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives • Actively drive a thorough understanding of risk retention plans • Identify and implement comprehensive risk management systems • Implement and monitor formulation of policies, identify and analyse constraints and challenges with implementations and provide recommendations for improvement 		<ul style="list-style-type: none"> • Demonstrate a high level of commitment in complying with governance requirements • Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework • Able to advise Local Government on risk management strategies, best practice interventions and compliance management • Able to forge positive relationships on governance level to enhance the effectiveness of Local Government • Able to shape, direct and drive the formulation of policies on a macro level

7.5.5 COMPETENCY DESCRIPTION: CORE COMPETENCIES

Cluster	Core Competencies	Competency Name	Competency Definition
5	Moral Competence ¹	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and consistently display behaviour that reflects moral competence	
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Realise the impact of acting with integrity, but requires guidance and development in implementing principles and follow basic rules of regulations of the institution • Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent. 	<ul style="list-style-type: none"> • Conduct self in alignment with values of Local Government and the institution • Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver report • Actively report fraudulent and activity of corruption within local government and understand the confidential nature of matters without seeking personal gain • Able to deal with situations of conflict of interest promptly and in the best interest of local government 	<ul style="list-style-type: none"> • Present values, beliefs and ideas that are congruent with the institution's rules and regulations • Take an active stance against corruption and dishonesty when noted • Actively promote the value of the institution to internal and external stakeholders • Able to work in unity with a team and not seek personal gain • Apply universal moral principles consistently to achieve moral decisions 	<ul style="list-style-type: none"> • Create an environment of conducive moral practices and develop and implement measures to combat fraud and corruption • Set integrity standards and shared accountability measures across the institution to support the objectives of local government • Take responsibility for own actions and decisions, even if the consequences are unfavourable

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Cluster	Core Competencies	Competency Name	Competency Definition	ACHIEVEMENT LEVELS	Weight
BASIC	COMPETENT	Planning and Organising ²	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk	<ul style="list-style-type: none"> • Able to follow basic plans and organise tasks around set objectives • Understand the process of planning and organising but requires guidance • Recognise the urgency and importance of tasks • Balance short and long-term plans and goals • Able to follow existing plans and ensure that objectives are met • Focus on short term objectives in developing plans and actions • Arrange information and resources required for a task, but require further structure and organisation 	
				<ul style="list-style-type: none"> • Actively and appropriately organise information and resources required for a task • Recognise the urgency and importance of tasks • Balance short and long-term plans and goals • Incorporate into the team's performance objectives • Schedule tasks to ensure they are performed within budget and with efficient use of time and resources • Measure progress and monitor performance results 	
				<ul style="list-style-type: none"> • Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation • Identify in advance stages and actions to complete tasks and projects • Schedule realistic timelines, objectives and milestones for tasks and projects • Produce clear, detailed and comprehensive plans to achieve institutional objectives • Identify possible risk factors and design and implement appropriate contingency plans • Adapt plans in light of changing circumstances • Prioritise tasks and projects according to their relevant urgency and importance 	
				<ul style="list-style-type: none"> • Focus on broad strategies and initiatives when developing plans and actions • Able to project and forecast short, medium and long term requirements of the institution and local government • Translate policy into relevant projects to facilitate the achievement of institutional objective 	
				ADVANCED	SUPERIOR

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Cluster	Core Competencies	Weight	Competency Name	Competency	Definition		
		5	Analysis and Innovation ³		Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives		
ACHIEVEMENT LEVELS							
BASIC	<ul style="list-style-type: none"> • Understand the basic operation of analysis, but lack detail and thoroughness • Able to balance independent analysis with requesting assistance from others • Recommend new ways to perform tasks within own function • Propose simple remedial interventions that marginally improve the status quo • Listen to the ideas and perspectives of others and explore opportunities to enhance innovative thinking 	COMPETENT	<ul style="list-style-type: none"> • Demonstrate logical problem solving and techniques and provide rationale for recommendation • Demonstrate objectivity, insight, and thoroughness when analysing problems • Able to break down complex problems into manageable parts • Consult internal and external stakeholders on opportunities to improve processes and service delivery • Clearly communicate the benefits of new opportunities and innovative solutions to stakeholders • Continuously identify opportunities to enhance internal processes • Identify and analyse opportunities and propose remedial intervention 	ADVANCED	<ul style="list-style-type: none"> • Coaches team members on analytical and innovative approaches and techniques • Engage with appropriate individuals in analysing and resolving complex problems • Identify solutions on various areas in the institution • Formulate and implement new ideas throughout the institution • Able to gain approval and buy in for proposed interventions from relevant stakeholders • Identify trends and best practices in process and service delivery and propose institutional application • Continuously engage in research to identify client needs 	SUPERIOR	<ul style="list-style-type: none"> • Demonstrate complex analytical and problem solving approaches and techniques • Create an environment conducive to analytical and fact-based problem-solving • Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence • Create an environment that fosters innovative thinking and follows a learning organisation approach • Be a thought leader on innovative customer service delivery, and process optimisation • Plan an active role in sharing best practice solutions and engage in national and international government seminars and conferences

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Cluster	Competency Name	Competency Definition	ACHIEVEMENT LEVELS	
Core Competencies	Knowledge and Information Management ⁴	Able to Promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government		
Weight	5			
			BASIC	ADVANCED
			<ul style="list-style-type: none"> • Collect, categorize and track relevant information required for specific tasks and projects • Analyse and interpret information to draw conclusions • Seek new sources of information to increase the knowledge base • Regularly share information and stakeholders with internal and team members 	<ul style="list-style-type: none"> • Use appropriate information systems and technology to manage institutional knowledge and information sharing • Evaluate data from various sources and use information effectively to influence decisions and provide solutions • Actively create mechanisms and structures for sharing of information • Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency
			SUPERIOR	
			<ul style="list-style-type: none"> • Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information • Establish partnerships across local government to facilitate knowledge management • Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance • Recognise and exploit knowledge points in interactions with internal and external stakeholders 	<ul style="list-style-type: none"> • Effectively predict future information and knowledge management requirements and systems • Develop standards and processes to meet future knowledge management needs • Share and promote best-practice knowledge across various institutions • Establish accurate measures and monitoring systems for knowledge and information management • Create a culture conducive of learning and knowledge sharing • Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches

Handwritten initials: *JS*, *vw*, *Rm*, *JS*

Cluster	Competency Name	Weight	Competency	Definition	
Core Competencies	Communication ⁵	5	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome	ACHIEVEMENT LEVELS	
				BASIC	<ul style="list-style-type: none"> • Demonstrate an understanding for communication levels and tools appropriate for the audience, but requires guidance in utilizing such tools • Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration and Disseminate and convey information and knowledge adequately
				COMPETENT	<ul style="list-style-type: none"> • Express ideas to individuals and groups in formal and informal settings in a manner that is interesting and motivating • Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs • Adapts communication content and style to suit the audience and facilitate optimal information transfer • Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders • Compile clear, focused, concise and well-structures written documents
				ADVANCED	<ul style="list-style-type: none"> • Effectively communicate high-risk and sensitive matters to relevant stakeholders • Develop a well-defined communication strategy • Balance political perspectives with institutional needs when communicating viewpoints on complex issues • Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles • Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution • Able to communicate with the media with high levels of moral and discipline
SUPERIOR			<ul style="list-style-type: none"> • Regarded as a specialist in negotiations and representing the institution and Able to inspire and motivate others through positive communication that is impactful and relevant • Creates an environment conducive to transparent and productive communication and critical conversations and appreciative • Able to coordinate negotiations at different levels within local government and externally 		

100%
KRM
JW

Cluster	Competency	Name	Competency	Definition
Core Competencies	Results and Quality Focus ⁶			
ACHIEVEMENT LEVELS				
BASIC	<ul style="list-style-type: none"> Understand quality of work but requires guidance in attending to important matters Show a basic commitment to achieving the correct results Produce the minimum level of results required in the role Produce outcomes that is of a good standards Focus on the quantity of output but requires development in incorporating the quality of work Produce quality work 	<ul style="list-style-type: none"> Focus on high priority actions and does not become distracted by lower-priority activities Display firm commitment and price in achieving the correct results Set quality standards and design processes and tasks around achieving set standards Produce output of high quality Able to balance the quantity and quality in order to achieve objectives Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as needed 	<ul style="list-style-type: none"> Consistently verify own standards and outcomes to ensure quality output Focus on the end result and avoids being distracted through to completion Follow task and projects through to completion Set challenging goals and objectives to self and team and display commitment to achieving expectations Maintain a focus on quality outputs when placed under pressure Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution 	<ul style="list-style-type: none"> Coach and guide others to exceed quality standards and results Develop challenging, client-focused goals and sets high standards for personal performance Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required Work with team to set ambitious and challenging team goals, long-term and short term expectations Take appropriate risks to accomplish goals Overcome setbacks and adjust action plans to realise goals Focus people on critical activities that yield a high impact
COMPETENT				
ADVANCED				
SUPERIOR				
Weight	5			

8.3 The assessment of the performance of the Employee will be based on the following rating scale for KPIs and Leading Competencies and Core Competencies:

Level	Rating	Terminology	Description
	1 2 3 4 5		
5		Outstanding Performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance Plan and maintained this in all areas of responsibility throughout the year
4		Performance Significantly Above Expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year
3		Fully Effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the Performance Agreements and Performance Plan.
2		Not Fully Effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performances criteria and indicators as specified in the Performance Agreements and Performance Plan.
1		Unacceptable Performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the Performance Agreements and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

8. For purpose of evaluating the performance of the Employee for the mid-year and year-end reviews an evaluation panel constituted of the following persons will be established:

24 | 2023 – 2024 Annual Performance Agreement : Chief Financial Officer

Handwritten initials: Rm, W, J, and a signature.

Handwritten notes:
 ✓
 R.M.
 ✓

9.2 The Employer shall keep a record of the mid-year review and annual assessment meetings;

9.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance;

9.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure "A" from time to time for operational reasons. The Employee will be fully consulted before any such change is made;

9.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and / or amended as the case may be. In that case the Employee will be fully consulted before any such change is made.

Quarter	Review Period	Anticipated Review Dates
1	01 July 2023 – 30 September 2023	31 October 2023
2	01 October 2023 – 31 December 2023	31 January 2024
3	01 January 2024 – 31 March 2024	30 April 2024
4	01 April 2024 – 30 June 2024	31 July 2024

9.1 The performance of the Employee in relation to his performance agreement shall be reviewed on the following dates with the understanding that reviews in the third quarter may be verbal if performance is satisfactory:

9. SCHEDULE FOR PERFORMANCE REVIEWS

8.1 Municipal Manager

8.2 Chairperson of the Performance Audit Committee (PAC) or the Audit Committee (AC) in the absence of a performance audit committee

8.3 Member of the Mayoral or Executive Committee or in respect of a plenary type municipality, another member of Council.

8.4 Municipal Manager from another municipality; and

8.5 The manager responsible for human resources of the municipality must provide secretariat services to the evaluation panels.

Handwritten notes: "1/20", "K.M.", and "vw" with a checkmark.

12.1.1 A direct effect on the performance of any of the **Employee's** functions
12.1.2 Commit the **Employee** to implement or to give effect to a decision made by the Employer
12.1.3 A substantial financial effect on the Employer
12.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in 11.1 as soon as is practicable to enable the Employee to take any necessary action without delay.

will have amongst others:

12.1 The Employer agrees to consult the Employee timeously where the exercising of the powers

12. CONSULTATION

this Agreement.
time to time assisting him/her to meet the performance objectives and targets established in terms of

11.1.5 Make available to the **Employee** such resources as the **Employee** may reasonably require from Agreement; and

to enable him / her to meet the performance objectives and targets established in terms of this
11.1.4 On the request of the **Employee**, delegate such powers reasonably required by the Employee

problems that may impact on the performance of the Employee;
11.1.3 Work collaboratively with the **Employee** to solve problems and generate solutions to common

11.1.2 Provide access to skills development and capacity building opportunities;

11.1.1 Create an enabling environment to facilitate effective performance by the **Employee**;

11.1 The **Employer** shall:

11. OBLIGATIONS OF THE EMPLOYER

made.

10.1 The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure B. Such Plan may be implemented and/or amended as the case may be after each assessment. In that case, the Employee will be fully consulted before any such change or plan is

10. DEVELOPMENTAL REQUIREMENTS

Handwritten initials: *uw*, *R.M.*, *Mr.*

- i. Any disputes about the nature of the **Employee's** performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or salary increment in the agreement must be mediated by-
- a) In the case of the Managers directly accountable to the Municipal Manager the Executive Mayor or Mayor within 30 days of receipt of a formal dispute from the **Employee**, whose decision shall be final and binding on both parties.
- ii. Any disputes about the outcome of the **Employee's** performance evaluation must be mediated by-
 - b) In the case of the Managers directly accountable to the Municipal Manager a member of the municipal council, provided that such member was not part of the evaluation panel provided for in sub regulation 27 (4) (e), within 30 (Thirty) days of receipt of a formal dispute from the **Employee**, whose decision shall be final and binding on both Parties.

12.4 DISPUTE RESOLUTION

- 12.3.1 The evaluation of the **Employee's** performance will form the basis for rewarding outstanding performance or correcting unacceptable performance.
- 12.3.2 A performance bonus of 5% to 14% of the all-inclusive annual remuneration package may be paid to the Employee in recognition of outstanding performance to be constituted as follows:
 - 12.3.3 A score of 130% to 149% is awarded a performance bonus ranging from 5% to 9%; and
 - 12.3.4 A score of 150% and above is awarded a performance bonus ranging from 10% to 14%.
- 12.3.5 In the case of unacceptable performance, the **Employer** shall:
 - 12.3.6 Provide systematic remedial or developmental support to assist the **Employee** to improve his or her performance;
 - 12.3.7 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the **Employer** may consider steps to terminate the contract of employment of the **Employee** on grounds of unfitness or incapacity to carry out his or her duties.

12.3 MANAGEMENT OF EVALUATION OUTCOMES

13. GENERAL

13.1 The contents of this agreement and the outcome of any review conducted in terms of

Annexure A may be made available to the public by the Employer;

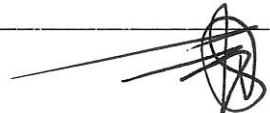
13.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the

Employee in terms of his/ her contract of employment, or the effects of existing or new regulations,

circulares, policies, directives or other instruments.

Thus done and signed at Bela Bela on this the 31 day of July 2023

AS WITNESSES:

1. 

2. _____

Thus done and signed at Bela-Bela on this the 31st day of July 2023

AS WITNESSES:

1. 

2. _____

Chief Financial Officer



Municipal Manager



2023/2024 ANNUAL PERFORMANCE PLAN



NAME	RM MARUTHA
POSITION	CHIEF FINANCIAL OFFICER
SUPERVISOR	MUNICIPAL MANAGER
INSTITUTION	BELA-BELA LOCAL MUNICIPALITY
PERIOD	01 JULY 2023 TO 30 JUNE 2024

R.m
uu

Handwritten initials: "H" and "R.G."

COMPONENTS OF THE PERFORMANCE PLAN

1. Purpose
2. Key Performance Areas
3. Strategic Intent
4. Key Performance Indicators
5. Assessment Rating Scales
6. Performance Assessment Process
7. Approval of the Performance Plan

1. PURPOSE

The performance plan outlines the Council's performance expectations of the employee and the is a strategic intent to ensure that the development priorities and objectives as set in the Municipal Approved 2023/2024 Integrated Plan (IDP) and the Key Performance Indicators and targets in the Municipal Approved 2023/2024 Service Delivery and Budget Implementation Plan (SDBIP) are achieved through operational initiatives.

2. KEY PERFORMANCE AREAS

The strategic Objectives of the Municipality are informed by the following Key Performance Areas as outlined in the Local Government: Municipal Planning and Performance Management Regulations (2001)

2.1 Basic Service Delivery and Infrastructure Development

2.2 Local Economic Development

2.3 Municipal Financial Viability

2.4 Municipal Institutional Development & Transformation

2.5 Good Governance and Public Participation

2.6 Spatial Rationale (Added)

3. STRATEGIC INTENT

Vision:

"We are the prime agricultural hub and eco-tourism destination of choice"

Mission:

Our mission is to constantly strive towards the achievement of:

- An effective and efficient service delivery underpinned by

Handwritten notes: "K", "W", "R.M.", "12/11/23"

vw
K.m
P.S.

- Stakeholder driven economic development and growth that fosters
- Sustainable job creation opportunities of communities within
- A safe, healthy and prosperous environment.

Values:

Bela-Bela municipality commits itself to adhere to the municipal core policies and values which are:

- Accountability
- Fairness
- Effectiveness
- Commitment
- Honesty and sincerity

4. KEY PERFORMANCE INDICATORS AND SERVICE DELIVERY TARGETS: ANNEXURE A

Key Performance Area	Strategic Objectives	Project/ Programme	Key Performance Indicator (KPI)	Weight	Unit of measure (UoM)	KPI Code	Baseline 2022/2023	Annual Targets 2023/2024	2023/2024 QUARTERLY PERFORMANCE TARGETS				Evidence Required	Department		
									1st Quarter Targets	2nd Quarter Targets	3rd Quarter Targets	4th Quarter Targets			Budget	
PRIORITY AREA: INSTITUTIONAL DEVELOPMENT & TRANSFORMATION 20%																
Good Governance and Public Participation	To Improve Administrative and Governance Capacity	Council Administration	Number of Council meetings attended by 30 June 2024	10%	#	KPI 1	0	6x Council meetings to be attended	1x Council meeting to be attended	1x Council meeting to be attended	2x Council meetings to be attended	2x Council meetings to be attended	Signed Attendance Registers	Corporate Services		
Municipal Transformation and Institutional Development	To Improve Administrative and Governance Capacity	Council Administration	Number of Section 79 Committee meetings attended by 30 June 2024	10%	#	KPI 2	0	1x Section 79 Committee meetings to be attended	3x Section 79 Committee meetings to be attended	2x Section 79 Committee meetings to be attended	3x Section 79 Committee meetings to be attended	3x Section 79 Committee meetings to be attended	Signed Attendance Registers	Corporate Service		
PRIORITY AREA: GOOD GOVERNANCE AND PUBLIC PARTICIPATION 20%																
PRIORITY AREA: RISK AND INTERNAL AUDITOR																
Good Governance and Public Participation	To Improve administrative and governance capacity	Corporate Governance	Number of Performance Audit Committee meetings attended by 30 June 2024	10%	#	KPI 4	0	4x Audit and Performance Committee meetings to be attended	1x Audit and Performance Committee meeting to be attended	1x Audit and Performance Committee meeting to be attended	1x Audit and Performance Committee meeting to be attended	1x Audit and Performance Committee meeting to be attended	Signed Attendance Registers	Office of the Municipal Manager		
Good Governance and Public Participation	To Improve administrative and governance capacity	Corporate Governance	Number of Risk Management Committee meetings attended by 30 June 2024	10%	#	KPI 5	0	4x Risk Management Committee Meetings to be attended	1x Risk Management Committee Meeting to be attended	1x Risk Management Committee Meeting to be attended	1x Risk Management Committee Meeting to be attended	1x Risk Management Committee Meeting to be attended	Signed Attendance Registers	Office of the Municipal Manager		
PRIORITY AREA: FINANCIAL VIABILITY 60%																
Municipal Financial Viability and Management	To improve financial viability	Budget and Reporting	Number of Annual Financial Statements compiled and submitted to the Auditor General by 31 August 2023	5%	#	KPI 6	1x 2021/2022 AFS compiled and submitted to the Auditor General	1x 2022/2023 AFS to be compiled and submitted to the Auditor General	1x 2022/2023 AFS to be compiled and submitted to the Auditor General by 31st August 2023	N/A	N/A	N/A	N/A	Opex	2022/2023 AFS and Proof of Submissions to the Auditor General	Budget & Treasury
Municipal Financial Viability and Management	To improve financial viability	Budget and Reporting	Number of AG Action Plan for 2022/23 developed and submitted to	5%	#	KPI 7	1x 2021/2022 Action Plan	1x 2022/2023 AG Action Plan to be developed and submitted to Council	N/A	N/A	1x 2022/2023 AG Action Plan to be developed and submitted to	N/A	Opex	2022/2023 AG Action Plan with Council Resolution	Budget & Treasury	

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R.M. W. TEL TS

Key Performance Area	Strategic Objectives	Project/ Programme	Key Performance Indicator (KPI)	Weight	Unit of measure (UoM)	KPI Code	Baseline 2022/2023	Annual Targets 2023/2024	2023/2024 QUARTERLY PERFORMANCE TARGETS				Evidence Required	Department		
									1st Quarter Targets	2nd Quarter Targets	3rd Quarter Targets	4th Quarter Targets			Budget	
Good Governance and Public Participation	To improve administrative and governance capacity	Budget and Reporting	Obtain Unqualified Audit Report by 30 November 2023	5%	#	KPI 8	Obtained Qualified Audit Report for 2021/2022	Obtain Unqualified Audit Report for 2022/2023	N/A	Obtain Unqualified Audit Report for 2022/2023	N/A	Council by 30 March 2024	N/A	Opex	Auditor General's Report	Budget & Treasury
Municipal Financial Viability and Management	To improve financial viability	Budget and Reporting	Percentage of AG queries resolved as per the Action Plan by 30 June 2024	5%	%	KPI 9	75% of AG findings resolved for 2021/2022	90% of AG queries to be resolved for 2022/2023	N/A	N/A	50% of AG queries to be resolved	90% of AG queries to be resolved	Opex	Progress Report on the implementation of the Action Plan for 2022/2023	Budget & Treasury	
Municipal Financial Viability and Management	To improve financial viability	Budget and Reporting	Number of 2023/2024 Annual Budget approved by Council on or before the 31 st of May 2024	5%	#	KPI 10	1x 2023/2024 Annual Budget approved.	1x 2024/2025 Draft and Final Annual Budget to be approved by Council	N/A	N/A	1x 2024/2025 Draft Annual Budget to be approved by Council by the 31 st of March 2024	1x 2024/2025 Final Annual Budget approved by Council the 31 st of May 2024	Opex	Council Approved Draft and Final 2023/2024 Annual Budget with Council Resolutions	Budget & Treasury	
Municipal Financial Viability and Management	Improve Financial Viability	Budget and Reporting	Number of MFMA Section 71 Reports submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	5%	#	KPI 11	12x Monthly MFMA Section 71 Reports for 2022/2023 FY submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	12x Monthly MFMA Section 71 Reports for 2023/24 FY to be submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	3x Monthly MFMA Section 71 Reports for 2023/24 FY to be submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	3x Monthly MFMA Section 71 Reports for 2023/24 FY to be submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	3x Monthly MFMA Section 71 Reports for 2023/24 FY to be submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	3x Monthly MFMA Section 71 Reports for 2023/24 FY to be submitted to the Mayor, Provincial and National Treasury by no later than 10 days after the end of each month	Opex	Section 71 Reports, Council Resolutions, and proof of Submission to the Mayor, Provincial and National Treasury	Budget & Treasury	

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Key Performance Area	Strategic Objectives	Project/Programme	Key Performance Indicator (KPI)	Weight	Unit of measure (UoM)	KPI Code	Baseline 2022/2023	Annual Targets 2023/2024	2023/2024 QUARTERLY PERFORMANCE TARGETS				Evidence Required	Department
									1st Quarter Targets	2nd Quarter Targets	3rd Quarter Targets	4th Quarter Targets		
Municipal Financial Viability and Management	Improve Financial Viability	Expenditure Management	Cash/cost coverage ratio of 1 - 3 months by 30 June 2024	5%	#	KPI 12	1 month norm	1 month norm	1 month norm	1 month norm	Opex	Monthly Report and Bank Statements	Budget & Treasury	
Municipal Financial Viability and Management	Improve Financial Viability	Expenditure Management	Percentage payment on budgeted capital projects identified for 2023/2024 financial year i.t.o IDP by 30 June 2024	5%	%	KPI 13	100%	100%	25%	50%	75%	100%	Capex	Budget & Treasury
Municipal Financial Viability and Management	To improve financial viability	Assets Management	Number of quarterly asset verification reports conducted - movables (sampling) compiled by 30 June 2024	5%	#	KPI 14	4x Quarterly assets verification for 2022/2023 FY conducted	1x Quarter assets verification for 2023/2024 FY to be conducted	N/A	N/A	N/A	1x Quarter assets verification for 2023/2024 FY to be conducted	Opex	Budget & Treasury
Municipal Financial Viability and Management	To improve financial viability	Revenue Management	Percentage of Registered Indigents with access to Free Basic Services by 30 June 2024	5%	#	KPI 15	100%	100%	100%	100%	100%	100%	Opex	Budget & Treasury
Municipal Financial Viability and Management	Improve Financial Viability	Revenue Management	Percentage Maintenance of 85% debtors' collection rate (Consumer cash collected / Consumer billing) by 30 June 2024	5%	%	KPI 16	85%	85%	85%	85%	85%	85%	Opex	Budget & Treasury

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Key Performance Area	Strategic Objectives	Project/ Programme	Key Performance Indicator (KPI)	Weight	Unit of measure (UoM)	KPI Code	Baseline 2022/2023	Annual Targets 2023/2024	2023/2024 QUARTERLY PERFORMANCE TARGETS				Evidence Required	Department	
									1st Quarter Targets	2nd Quarter Targets	3rd Quarter Targets	4th Quarter Targets			
Municipal Financial Viability and Management	Financial Stability	Budget and Reporting	Number of Budget related policies reviewed and approved by 30 June 2024	5%	#	KPI 17	16x Budget related policies reviewed and approved	16x Budget related policies reviewed and approved	N/A	N/A	N/A	16x Budget related policies to be reviewed and approved	Opex	16x Budget related policies reviewed and approved	Budget & Treasury

6. ASSESSMENT RATING SCALE

5	4	3	2	1
<p>Outstanding Performance</p> <p>Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance Plan and maintained this in all areas of responsibility throughout the year.</p>	<p>Performance Significantly Above Expectations</p> <p>Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.</p>	<p>Fully Effective</p> <p>Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective performance against all significant performance criteria and indicators as specified in the Performance Agreements and Performance Plan.</p>	<p>Not Fully Effective</p> <p>Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performances criteria and indicators as specified in the Performance Agreements and Performance Plan.</p>	<p>Unacceptable Performance</p> <p>Performance does not meet the standard expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the Performance Agreements and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.</p>

vy *Dr. M* *(Pa.)* *TS*

7. PERFORMANCE ASSESSMENT PROCESS

The following steps will be followed to ensure a fully participative and complaint performance assessment process is adhered to:



1. Performance Assessments
 - 1.1 Formal assessments between employee and employer will take place twice a year to measure the performance of the employee against the agreed performance targets.
 - 1.2 Progress against the targets will be captured in preparation for the assessments.
 - 1.3 Scores of 1-5 will be calculated based upon the progress against targets.
 - 1.4 Key Performance Indicators (KPI's) and targets are audited and copied to the performance plan before the assessment date.
 - 1.5 The employer must keep records of the assessment meetings.
2. The employee being assessed will compile a portfolio of evidence confirming the level of performance achieved for a given assessment period and made available to the panel on request.
3. The process determining employee rating is as follows:
 - 3.1 The employee to motivate for a higher rating where applicable
 - 3.2 The panel to rate the achievements for the KPI are on a 5 point scale. Decimal places can be used.
 - 3.3 The panel to rate the employee's core competency requirements (CCR) on the 5 point scale. Decimal places can be used.
 - 3.4 The panel scores are averaged to arrive at a total score per KPI / CCR. Overall scores are calculated by taking weights into account where applicable.
 - 3.5 The final KPA's rating will account for 80% of the final assessment total. The CCR are to account for 20% of the final assessment total.
4. The five point rating scale referred to in regulation 805 correspond as follows:

Rating	1	2	3	4	5
5. The assessment rating calculator is used to calculate the overall % for performance.
6. The personal development plan can be (PDP) can be reviewed after the performance assessments had been finalised in case where more clarity has been established on what the essential development needs for the relevant employee will be.

1/2
R.m
13

1/2
1/5

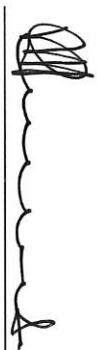
8. APPROVAL OF THE PERFORMANCE PLAN

<p>This serves as a commitment between the employer and the employee that they will work together and support each other while struggling to achieve the goals of the Municipality as well as the personnel growth and development of the employee.</p>	
<p>Undertaking by the Employer/ Supervisor</p>	<p>Undertaking of the Employee</p>
<p>On behalf of the Municipality, I undertake to ensure that a work environment conducive for excellent employee performance is established and maintained. As such, I undertake to lead to the best of my ability, communicate comprehensively, and empower managers and employees. Employees will have access to ongoing learning, will be coached, and will be assisted to clearly understand what is expected of them, and herewith approve this performance plan.</p>	<p>I herewith conform that I understand the strategic importance of my position within the broader organisation. I furthermore confirm that I understand the purpose of my position, as well as the criteria on which my performance will be evaluated at least twice per annum. As such I therefore commit to do my utmost to work up to these expectations. I hereby accept this plan.</p>
<p>Signed and accepted by the Supervisor on behalf of Council:</p>	<p>Signed and accepted by the employee:</p>
	
<p>Date: 31 July 2023</p>	<p>Date: 31 July 2023</p>

9. PERSONAL DEVELOPMENT ACTION PLAN: ANNEXURE B

Skills Performance Gap	Outcomes Expected	Suggested Training / Development	Suggested Delivery	Mode of	Suggested Time Frames	Support Person

Chief Financial Officer



Date: 31st July 2023

Municipal Manager



Date: 31st July 2023

122
A

DISCLOSURE OF FINANCIAL INTERESTS FORM: ANNEXURE C

TS
vw

Hereby certify that the following information is complete and correct to the best of my knowledge:

Telephone Number 044-736 8000

Fax Number

Name of Municipality

Bela - Bela Local Municipality

Position held

Chief Financial Officer

Residential Address

722 Unit E Mankweng

Postal Address

Soverga 0727

P.O Box 1134

I, the undersigned (surname and initials)

Mantso R.M

FINANCIAL DISCLOSURE FORM FOR 2023/2024 FINANCIAL YEAR

CONFIDENTIAL



BELA - BELA LOCAL MUNICIPALITY

Handwritten initials and date: um/17, 18/11

13
 (Dr.)
 W

Date 31st July 2023

Signature by Council _____

Council _____

Name of Employer	Type of Work	Amount of Remuneration/ Income
	M/A	

4. Remunerated work outside the Municipality
 Must be sanctioned by Council. See information sheet: note (4)

Name of corporate entity, partnership or firm	Type of business	Amount of Remuneration/ Income
	M/A	

3. Directorships and partnerships
 See information sheet: note (3)

Name of Trust	Amount of Remuneration / Income
	M/A

2. Interest in a Trust

Number of shares/Extent of financial interests	Nature	Nominal Value	Name of Company/Entity

See information sheet: note (1)

1. Shares and other financial interests (Not bank accounts with financial institutions.)

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cm

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PLACE: Bela-Bela

DATE: 21st July 2023

SIGNATURE OF EMPLOYEE

Description	Extent	Area	Value
House	.	SEANSGO	2350-000
/ownhouse		BENDDK	2650-000
/ownhouse		POLOKWAME	21200-000

8. Land and Property
See information sheet: note (8)

Description	Value	Source
	M/A	

7. Gifts and hospitality from a source other than a family member
See information sheet: note (7)

Source of assistance/sponsorship	Description of assistance/ Sponsorship	Value of assistance/sponsorship
	M/A	

6. Sponsorships
See information sheet: note (6)

Name of client	Nature	Type of business activity	Value of any benefits received

5. Consultancies and retainerships
See information sheet: note (5)

OATH/AFFIRMATION

1. I certify that before administering the oath/affirmation I asked the deponent the following questions and wrote down her/his answers in his/her presence:

(i) Do you know and understand the contents of the declaration?

Answer

(ii) Do you have any objection to taking the prescribed oath or affirmation?

Answer

(iii) Do you consider the prescribed oath or affirmation to be binding on your conscience?

Answer

2. I certify that the deponent has acknowledged that she/he knows and understands the contents of this declaration. The deponent utters the following words: "I swear that the contents of this declaration are true, so help me God." / "I truly affirm that the contents of the declaration are true". The signature/mark of the deponent is affixed to the declaration in my presence.

Commissioner of Oath /Justice of the Peace

[Handwritten signature]
6 439107MA

Full first names and surname:

(Block letters)

Designation (rank)

Ex Officio Republic of South Africa

Ek sertifiseer dat hierdie dokument 'n ware afdruk/faksrif van die oorspronklike dokument is, wat deur my persoonlik besigtig is, en sertifiseer dat volgens my waarnemings die oorspronklike dokument nie op enige wyse gewysig/verander is nie.

I certify that this document is a true reproduction/copy of the original document which was handed to me for authentication. I further certify that from my observations, an amendment or a change was not made to the original document.

Handtekening (Name in drukskrif)

Signature

Name in print

Rang (Rank)

Amptelike funksie (Official function)

Handwritten initials: VB

Handwritten initials: (Kor)

NOTE 4

Work means rendering a service for which the person receives remuneration.

Remuneration means the receipt of benefits in cash or kind.

- The type of work;
- The name and type of business activity of the employer; and
- The amount of the remuneration received for such work.

Designated employees are required to disclose the following details with regard to remunerated work outside the public service.

REMUNERATED WORK OUTSIDE THE PUBLIC SERVICE (ALL REMUNERATED EMPLOYMENT MUST BE SANCTIONED PRIOR TO THE WORK BEING DONE.)

NOTE 3

Partnership is a legal relationship arising out of a contract between two or more persons with the object of making and sharing profits.

Partnership is a legal relationship arising out of a contract between two or more persons with the object of making and sharing profits.

Directorship includes any occupied position of director or alternative director, or by whatever name the position is designated.

Directorship includes any occupied position of director or alternative director, or by whatever name the position is designated.

- The name and type of business activity of the corporate entity or partnership/s; and
- The amount of any remuneration received for such directorship or partnership/s.

Designated employees are required to disclose the following details with regard to directorships and partnerships:

DIRECTORSHIPS AND PARTNERSHIPS

NOTE 2

The number, nature and nominal value of shares of any type;

- The nature and value of any other financial interests held in any private or public company or any other corporate entity; and
- The name of that entity.

Designated employees are required to disclose the following details with regard to shares and other financial interests held in any private or public company or any other corporate entity recognized by law:

SHARES AND OTHER FINANCIAL INTERESTS

NOTE 1

The following notes is a guide to assist with completing the attached Financial Disclosure form (Annexure C):

INFORMATION SHEET FOR THE FINANCIAL DISCLOSURE FORM

DATE:

Handwritten date: 31 July 2023

CHIEF FINANCIAL OFFICER

CONTENTS NOTED:

Date

Handwritten date: 31 July 2023

Place

Handwritten name: Bela-Bela

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- A description and extent of the land or property;
- The area in which it is situated; and
- The value of the interest.

Designated employees are required to disclose the following details with regard to their ownership and other interests in land and property (residential or otherwise both inside and outside the Republic):

LAND AND PROPERTY

NOTE 7

All personal gifts within the family and hospitality of a traditional or cultural nature need not be disclosed.

Designated employees must disclose any material advantage that they received from any source e.g. any discount prices or rates that are not available to the general public.

- Hospitality intended as a gift in kind.
- A description and the value of gifts from a single source which cumulatively exceed the value of R350 in the relevant 12 month period; and
- A description and the value and source of a gift with a value in excess of R350;

Designated employees are required to disclose the following details with regard to gifts and hospitality:

GIFTS AND HOSPITALITY FROM A SOURCE OTHER THAN A FAMILY MEMBER

NOTE 6

- The source and description of direct financial sponsorship or assistance; and
- The value of the sponsorship or assistance.

Designated employees are required to disclose the following details with regard to sponsorships:

SPONSORSHIPS

NOTE 5

- The nature of the consultancy or retainership of any kind;
- The name and type of business activity, of the client concerned; and
- The value of any benefits received for such consultancy or retainerships.

Designated employees are required to disclose the following details with regard to consultancies and retainerships:

CONSULTANCIES AND RETAINERSHIPS